

B 210A (Form 210A) (12/09)

UNITED STATES BANKRUPTCY COURT

Southern District of New York

In re Lehman Brothers Holdings Inc,

Case No. 08-13555 (JMP)

TRANSFER OF CLAIM OTHER THAN FOR SECURITY

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a). Transferee hereby gives evidence and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of the transfer, other than for security, of the claim referenced in this evidence and notice.

UNION BANCAIRE PRIVEE, Hong Kong
Name of Transferee

RBS Coutts Bank AG, Switzerland
Name of Transferor

Name and Address where notices to transferee should be sent:
Level 26 AIA Central / 1 Connaught Road
Central Hong Kong

Court Claim # (if known): list attached
Amount of Claim: _____
Date Claim Filed: 10/23/2009

Phone: +852.3701.9688
Last Four Digits of Acct #: _____

Phone: _____
Last Four Digits of Acct. #: _____

Name and Address where transferee payments should be sent (if different from above):
Deutsche Bank AG / BIC: BKTRUS33
A/C 04465972 in favor of UBP, HK Branch

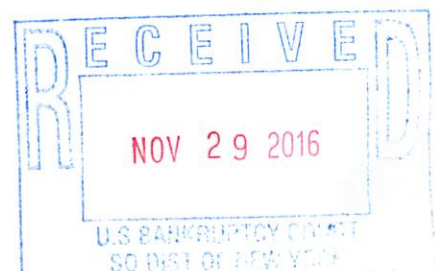
Phone: _____
Last Four Digits of Acct #: _____

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

By: Sukhie Sandhu
Transferee/Transferee's Agent
Sukhie Sandhu Venus Ko

Date: 11/24/2016

Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 & 3571.



EVIDENCE OF TRANSFER OF CLAIM

TO: THE DEBTOR AND THE BANKRUPTCY COURT

For value received, the adequacy and sufficiency of which are hereby acknowledged, **RBS Coutts Bank AG, Switzerland** ("Transferor") unconditionally and irrevocably transferred to **Union Bancaire Privée (Singapore) Ltd, Singapore** ("Transferee") all of its right, title, interest, claims and causes of action in and to or arising under or in connection with the portion of its claim (**Claim Nos. 45221.36, 41773.12**) relating to the securities with International Securities Identification Numbers listed on Schedule I hereto against Lehman Brothers Holdings, Inc. (the "Debtor"), Chapter 11 Case No.: 08-13555 (JMP) (Jointly Administered), United States Bankruptcy Court for the Southern District of New York (the "Bankruptcy Court").

Transferor hereby waives any objection to the transfer of the claim to the Transferee on the books and records of the Debtor and the Bankruptcy Court and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Transferor acknowledges and understands, and hereby stipulates, that an order of the Bankruptcy Court may be entered without further notice to the Transferor regarding the transfer of the foregoing claim and recognizing the Transferee as the sole owner and holder of the claim. Transferor further directs the Debtor, the Bankruptcy Court and all other interested parties that all further notices relating to the claim, and all payments or distributions of money or property in respect of claim, shall be delivered or made to the Transferee.

IN WITNESS WHEREOF, this EVIDENCE OF TRANSFER OF CLAIM IS EXECUTED on 19 September 2016

RBS Coutts Bank AG

By: 

Name: Joachim Knoche
Title: Associate

By: 

Name: Hans-Peter Schmid
Title: Director

SCHEDULE I

Lehman Programs Securities related to Transferred Portion of Claim:

ISIN	Court Claim #	Date Claim filed	Issuer	Number of Units or Currency and Nominal Amount
XS0347785312	45221.36	October 23, 2009	Lehman Brothers Treasury BV	USD 500'000.00
XS0350318399	45221.36	October 23, 2009	Lehman Brothers Treasury BV	USD 1'000'000.00
XS0257807874	45221.36	October 23, 2009	Lehman Brothers Treasury BV	USD 500'000.00
XS0349154400	41773.12	October 23, 2009	Lehman Brothers Treasury BV	USD 150'000.00
XS0302282602	45221.36	October 23, 2009	Lehman Brothers Treasury BV	USD 700'000.00
XS0301339510	45221.36	October 23, 2009	Lehman Brothers Treasury BV	USD 600'000.00
XS0336410013	45221.36	October 23, 2009	Lehman Brothers Treasury BV	USD 200'000.00
XS0332109221	45221.36	October 23, 2009	Lehman Brothers Treasury BV	USD 500'000.00
XS0342303400	45221.36	October 23, 2009	Lehman Brothers Treasury BV	USD 600'000.00
XS0307617315	45221.36	October 23, 2009	Lehman Brothers Treasury BV	USD 800'000.00
XS0326540290	45221.36	October 23, 2009	Lehman Brothers Treasury BV	USD 350'000.00
XS0320520884	45221.36	October 23, 2009	Lehman Brothers Treasury BV	USD 300'000.00

XS0337553175	45221.36	October 23, 2009	Lehman Brothers Treasury BV	USD 100'000.00
XS0356956564	45221.36	October 23, 2009	Lehman Brothers Treasury BV	USD 200'000.00

dhl.com

Recommended	
1 = 0.5kg / 11lb	2 = 1kg / 2lb
3 = 2kg / 4lb	4 = 5kg / 10lb
5 = 10kg / 22lb	6 = 20kg / 44lb
7 = 25kg / 55lb	8 = 30kg / 66lb

EXPRESS WORLDWIDE
DHL EasyShip 5.3.12

DOX **DHL**

FROM: RIAD SULTAN
98, RUE DU RHONE
1204 GENEVE
SWITZERLAND

FILED / RECEIVED
NOV 28 2016
Origin: GVA
EPIQ SYSTEMS

TO: EPIQ BANKRUPTCY SOLUTIONS, LLC
ATTN: LEHMAN BROTHERS HOLDINGS CLAIMS PROCESSING
777 THIRD AVENUE, 12TH FLOOR

10017-1302 NEW YORK, NEW YORK
UNITED STATES OF AMERICA Ph:

US-ZYP

Day Time

Account : 150567912 Shipment Weight : 0.50kg Piece :
Shipment Ref : 4805 Date : 2016-11-25 1/1
Content : DOCUMENTS



EXPRESS WORLDWIDE DOX **DHL**

100171302 NEW YORK, United States
JFKL US-ZYP-TS3

Account No. 150567912
Ref code

Date: Pce / Shpt Weight Piece
/ 0.50 1/1

Content description:



WAYBILL 70 1819 1283

DH

Greg

